

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10714401
Filing Date	2003-11-17
First Named Inventor	Iain B. FINDLETON
Art Unit	
Examiner Name	
Attorney Docket Number	16764-1US

**U.S. PATENTS**

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	1	0 837 407	EP		1998-04-22			<input type="checkbox"/>
	2	03-036517	WO		2003-05-01			<input type="checkbox"/>

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	International Search Report PCT/ISA/210 pp. 1-4	<input type="checkbox"/>
	2	Efficient, Approximate Cache Invalidation For an Object Server" IBM Technical Disclosure Bulletin, IBM Corp. New York, US vol. 37, no.1, January 1994, pages 325-326 XP000428794 ISSN:0018-8689	<input type="checkbox"/>
	3	Cheong H. et al. "A Version Control Approach to Cache Coherence" International Conference on Supercomputing, Conference Proceedings, ACM, New York, US June 1989 pages 322-330, XP002330253 abstract	<input type="checkbox"/>
	4	Cohen E et al.:"Refreshment Policies for Web Content Caches" Proceedings IEEE Infocom 2001, The conference on Computer Communications. 20th Annual Joint conference on the IEEE Computer and communications societies. Anchorage, AK, April 22-26, 2001, Proceedings IEEE infocom. The conference on Computer Communi. vol. 1 of 3, conf. 20, 22 april 2001, pages 1398-1406 XP010538831 ISBN: 0-7803-7016-3 abstract	<input type="checkbox"/>

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/C. MARC BENOIT/	Date (YYYY-MM-DD)	2007-08-14
Name/Print	C. Marc Benoit	Registration Number	50200

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**